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Bib Data Sheet

CONFIRMATION NO. 6492

SERIAL NUMBER 10/091,046	FILING DATE 03/05/2002 RULE	CLASS 705	GROUP ART UNIT 2461 3692	ATTORNEY DOCKET NO. P6340 (301101-000009)
APPLICANTS Peng Chen, Elmhurst, IL; Roger Ibbotson, Hamden, CT; Michael C. Henkel, Evanston, IL;				
** CONTINUING DATA ***** N/A				
** FOREIGN APPLICATIONS ***** N/A				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** N/A ** 03/27/2002				
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature: [Signature] Initials: N/A	STATE OR COUNTRY IL	SHEETS DRAWING 7	TOTAL CLAIMS 63 12
INDEPENDENT CLAIMS 3				
ADDRESS Jefferson Perkins Piper Marbury Rudnick & Wolfe P.O. Box 64807 Chicago, IL 60440-0807				
TITLE Automatically allocating and rebalancing discretionary portfolios				
FILING FEE RECEIVED 1009	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			
				<input type="checkbox"/> All Fees
				<input type="checkbox"/> 1.16 Fees (Filing)
				<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
				<input type="checkbox"/> 1.18 Fees (Issue)
				<input type="checkbox"/> Other _____
				<input type="checkbox"/> Credit